PLEAS TO THE JURISDICTION

Truth, Fibs and Outright Lies

Presented By

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July 22-23, 2004
Galveston

CHAPTER 7
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Director and faculty member, Suing and Defending Governmental Entities course, State Bar of Texas (2000-2004)
College of the State Bar of Texas (1990-2004)
Texas City Attorneys Association (1995-2004)
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Wichita County Bar Association Board of Directors (1995-1998)
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LICENSURE

Texas Supreme Court
United States Supreme Court
United States Fifth Circuit Court of Appeals
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ARTICLES & PRESENTATIONS


Interlocutory Appeals in State and Federal Court, Texas City Attorney's Association, Semi-Annual Conference, South Padre Island, June 12-13, 1998

Defending Federal Tort Claims, Texas Public Risk Managers Association, Grapevine, March 12, 1999

The A-B-C's of School Law, Reliance Insurance, Dallas, June 10, 1999

The Secrets to an Open Government: The Open Records and Open Meetings Acts, Federal Bar Association, Dallas, September 10, 1999


Personal Liability and Official Immunity, Texas Public Risk Managers Association, Mesquite, August 18, 2000; Texas Association of School Business Officials, Austin, March 1, 2001

Pleas to the Jurisdiction, Suing and Defending Governmental Entities Course, State Bar of Texas, July 13, 2000; Texas Municipal League Attorney Workshop, September 8, 2000

Whistleblowers, Texas Municipal League Employment Law Seminar, February 20, 2002; February 25, 2004


Suing & Defending Governmental Entities Course, State Bar of Texas Course Director, July 25-26, 2002 (Galveston), September 5-6, 2002 (Dallas), October 10-11, 2002 (Austin)

Joint Enterprise Liability, Double Your Pleasure, Double Your Fun, Suing and Defending Governmental Entities Course, State Bar of Texas, July 17, 2003 (San Antonio); Texas Municipal League Attorney Workshop, August 22, 2003

Law Enforcement Consultant, Law Enforcement Television (2003); Institute for Law Enforcement Administration, 40th Management College, March 1, 2004

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I. INTRODUCTION

In 1997, for the first time, the Texas Legislature granted governmental entities the right to file an interlocutory appeal from the trial court’s denial of a plea to the jurisdiction. That single enactment, TEX. CIV. PRAC. & REM. CODE § 51.014(a)(8), has sparked an avalanche of litigation concerning the standards for pleading a state tort claim against a governmental entity. Case law interpreting pleas to the jurisdiction is rapid and recent. The practical effect of this new law is that the plaintiffs’ bar must be extraordinarily carefully to clearly and specifically plead their initial claims against governmental entities within the specific terms of a statutory waiver of sovereign immunity. Conversely, the defense bar has a new first line of defense (or attack) that can be potentially dispositive of the case. By taking full advantage of the plea to the jurisdiction procedure, backed by the substance of sovereign immunity, governmental entities may quickly dispose of the litigation. The issue of sovereign immunity, as raised by a plea to the jurisdiction, has now become the starting point of every state law claim against a governmental entity.

The focus of this article is thoroughly practical, though at first blush parts of it may appear to have greater interest for academics than for busy trial lawyers involved in governmental litigation. The article addresses pleas to the jurisdiction, and outlines the status and recent developments in both substantive law and procedural development. Because of the potential impact of the trial court’s ruling on a plea to the jurisdiction, the article further addresses interlocutory appeals, both procedurally and substantively. Finally, practice pointers are interspersed at relevant points throughout the article. Many of the recommendations are gleaned from the recent case law. Others are based upon experience and discussions with other counsel who handle governmental litigation. It is hoped this paper will provide a practical analysis and a concise guide to a very confusing area of the law – pleas to the jurisdiction.
II. PLEAS TO THE JURISDICTION

A. Purpose

B. Goal
The goal of a plea to the jurisdiction by a governmental entity is to have the trial court dismiss a claim based on sovereign immunity from suit. *Speer v. Stover*, 685 S.W.2d 22, 23 (Tex. 1985). A dismissal on a plea to the jurisdiction is with prejudice. *Sykes*, 2004 WL 1194127 at *3.

C. Sovereign Immunity

1. Overview
Sovereign immunity bars suits against governmental entities unless there is a clear and explicit constitutional or statutory waiver of immunity. *Wichita Falls State Hosp. v. Taylor*, 106 S.W.3d 692, 696 (Tex. 2003); *Dallas County MHMR v. Bossley*, 968 S.W.2d 339, 341 (Tex. 1998); *Federal Sign v. Texas Southern Univ.*, 951 S.W.2d 401, 405 (Tex. 1997); *University of Texas Med. Branch at Galveston v. York*, 871 S.W.2d 175, 177 (Tex. 1994). The doctrine of sovereign immunity has existed since Texas was its own sovereign nation, and emanates from the English law that “the king can do no wrong.” *See 1 WILLIAM BLACKSTONE, COMMENTARIES ON THE LAWS OF ENGLAND* 234-235 (1765); *Alden v. Maine*, 119 S.Ct. 2240, 2248 (1999). It was not until 1970 that cities, counties, school districts and other local governmental entities were subjected to tort liability. With passage of the Texas Tort Claims Act (TEX. REV. CIV. STAT. ANN. art. 6252-19; recodified, now TEX. CIV. PRAC. & REM. CODE ch. 101) (the “TTCA”), governmental entities for the first time were confronted with civil liability for torts. *Brown v. City of Houston*, 8 S.W.3d 331, 334 (Tex. App.—Waco 1999, pet. denied). *See State v. Brannan*, 111 S.W.2d 347 (Tex. Civ. App.—Waco 1937, writ ref’d) (state is immune from suit in absence of waiver of sovereign immunity). Other subsequent legislation has waived immunity (i.e., the whistleblower act, TEX. GOV’T CODE § 554.002(a), and the anti-retaliation act, TEX. LABOR CODE § 451.001), but no single statute comes close to approaching the significance of the Texas Tort Claims Act as a waiver of immunity. *See Texas Dep’t of Health v. Doe*, 994 S.W.2d 890, 892-93 (Tex. App.—Amarillo 1999, no writ).

The Texas Tort Claims Act waives sovereign immunity in only three areas: (1) use of publically owned vehicles; (2) premise defects; and (3) conditions or use of tangible personal property. *Miranda*, 133 S.W.3d at 225; *Lamar Univ. v. Doe*, 971 S.W.2d 191, 195 (Tex. App.—Beaumont 1998, no pet.). If a claim does not fall within one of the three areas, the governmental entity remains immune both from suit and liability. *Duhart v. State*, 610 S.W.2d 740, 741-42 (Tex. 1980); *Wilkens v. State*, 716 S.W.2d 96, 98 (Tex. App.—Waco 1986, writ ref’d n.r.e.). If it is questionable whether sovereign immunity has been waived, it has not. *Schaefer v. City of San Antonio*, 838 S.W.2d 688, 693 (Tex. App.—San Antonio 1992, no pet.), overruled on other grnds, *Texas Nat. Res. & Conserv. Com’n v. White*, 46 S.W.3d 864, 867 (Tex. 2001).

2. Immunity from Suit verses Immunity from Liability
The doctrine of sovereign immunity embraces two distinct principles: immunity from suit and immunity from
liability. *Texas Dept. of Transp. v. Jones*, 8 S.W.3d 636, 638 (Tex. 1999). Immunity from suit bars a lawsuit against the state unless the legislature expressly consents to the suit. *Jones*, 8 S.W.3d at 638. Absent such consent, the trial court does not have subject matter jurisdiction to hear the case. *Jones*, 8 S.W.3d at 638. The legislature may consent to suit by statute, but such consent must be made by clear and unambiguous language. *Id.* The Texas legislature has granted a limited waiver of immunity from suit by enacting the Texas Tort Claims Act. *Federal Sign*, 951 S.W.2d at 405.

By contrast, immunity from liability protects the state from judgments even if the legislature has expressly given consent to the suit. *Jones*, 8 S.W.3d at 638. The legislature neither creates nor admits liability by granting permission to be sued. *Federal Sign*, 951 S.W.2d at 405. Immunity from liability is an affirmative defense, not a jurisdictional issue. *Jones*, 8 S.W.3d at 638. Like other affirmative defenses, the state must plead immunity from liability or else it is waived. *Jones*, 8 S.W.3d at 638.

Since immunity from liability is not jurisdictional, a plea to the jurisdiction would not be proper in such cases. *Taylor*, 106 S.W.3d at 696. However, where immunity from suit is raised, a plea to the jurisdiction is appropriate, since the courts do not have authority to hear cases where immunity from suit has not been waived. *Sykes*, 2004 WL 1194127 at *2; *Jones*, 8 S.W.3d at 638.

Simply stated, sovereign immunity from suit, as opposed to sovereign immunity from liability, is a jurisdictional defense. *White*, 13 S.W.3d at 822. Sovereign immunity from suit defeats a trial court’s subject matter jurisdiction, and thus, is properly asserted in a plea to the jurisdiction. *Id.*; *Sykes*, 2004 WL 1194127 at *2; *Jones*, 8 S.W.3d at 638-39.

D. The Plea to the Jurisdiction

1. Grounds—An Initial Facial Attack

A plea to the jurisdiction initially challenges the trial court’s jurisdiction by attacking the sufficiency of the plaintiff’s pleadings. To invoke the trial court’s jurisdiction, the plaintiff must plead a cause of action within the express terms of the Texas Tort Claims Act or other statutory waiver of immunity. *White*, 13 S.W.3d at 822 (*citing Texas Ass’n of Bus.*, 852 S.W.2d at 446); *City of El Paso v. W.E.B. Inv.*, 950 S.W.2d 166, 169 (Tex. App.—El Paso 1997, writ denied); *Wyse v. Dept. of Pub. Safety*, 733 S.W.2d 224, 228 (Tex. App.—Waco 1986, writ ref’d n.r.e.). Whether a governmental entity is immune depends entirely upon statute. *Bossley*, 968 S.W.2d at 341. Only when the legislature has clearly and explicitly waived sovereign immunity may a cause of action accrue. *Schaefer*, 838 S.W.2d at 693; *Mount Pleasant Indep. Sch. Dist. v. Estate of Lindburg*, 766 S.W.2d 208, 211 (Tex. 1989). Thus, the plaintiff must make a specific reference to a statutory waiver of immunity in the petition. *Jones*, 8 S.W.3d at 638; *Satterfield & Pontikes Const. v. Irving ISD*, 123 S.W.3d 63, 65 (Tex. App.—Dallas 2003, pet. pending); *Denton County v. Howard*, 22 S.W.3d 113, 118 (Tex. App.—Fort Worth 2000, no pet.), *disapproved in part on other grnds.*, *Miranda*, 133 S.W.3d at 224 n.4. A plea to the jurisdiction is proper to challenge a suit filed against a governmental entity when the plaintiff’s petition shows on its face that the court does not have jurisdiction based on sovereign immunity. *See Jones*, 8 S.W.3d at 639; *Bybee v. Fireman’s Fund Ins. Co.*, 331 S.W.2d 910, 917 (Tex. 1960), *Hawkins v. Anderson*, 672 S.W.2d 293, 296 (Tex. App.—Dallas 1984, no writ).

If the plaintiff’s pleadings affirmatively negate the existence of jurisdiction, then a plea to the jurisdiction may be granted without allowing the plaintiff an opportunity to amend. *Miranda*, 133 S.W.3d at 227. However, if the failure of pleading can be cured by amending the pleading, the plaintiff must be given an opportunity to amend. *County of Cameron v. Brown*, 80 S.W.3d 549, 555 (Tex. 2002). If the plaintiff has been given an opportunity to amend between the filing of the plea to the jurisdiction and the date of the hearing, and has failed to replead or failed to sufficiently plead a waiver of immunity, then the claim should be dismissed with prejudice. *Miranda*, 133 S.W.3d at 231; *Sykes*, 2004 WL 1194127 at *3. “A trial court must grant a plea to the jurisdiction, after providing an appropriate opportunity to amend, when the pleadings do not state a cause of action upon which the trial court has jurisdiction.” *Sykes*, 2004 WL 1194127 at *3.

2. Clear and Explicit Waiver of Immunity

It is well-settled that a waiver of sovereign immunity must be made by clear and unambiguous language in a statute (or by legislative consent). *Travis County v. Pelzel & Assoc.*, 77 S.W.3d 246, 248 (Tex. 2002); *Duhart v. State*, 610 S.W.2d 740, 742 (Tex. 1980). The Texas Supreme Court has confirmed that courts of this state should defer to the Texas Legislature’s delineation of the boundaries of sovereign immunity. *General Servs. Comm’n v. Little-Tex. Insulation Co.*, 39 S.W.3d 591 (Tex. 2001). In other words, establishing when and to what extent sovereign immunity from suit should be waived is solely within the realm of the legislature. *Id.*; *Federal Sign*, 951 S.W.3d at 409.
The application of this principal has, at times, proven difficult for trial and appellate courts. Take for example the patient’s bill of rights adopted by the Texas Department of Mental Health and Mental Retardation under chapter 321 of the Health and Safety Code. Several courts of appeals addressed whether this statute clearly and unambiguously waives immunity from suit, coming up with diametrically opposing views. In Texas Dept. of MHMR v. Lee, 38 S.W.3d 862 (Tex. App.—Fort Worth 2001, pet. denied), the court held that the statute was not a clear and unambiguous waiver. Conversely, at least four courts of appeals rejected this approach and held the statute was a clear and unambiguous waiver. See, e.g. Central Counties Cit. for MHMR Servs. v. Rodriguez, 45 S.W.3d 707 (Tex. App.—Austin 2001), rev’d, 106 S.W.3d 702 (Tex. 2003). This split was finally resolved in favor of immunity from suit in Taylor, 106 S.W. 3d 692 where the Supreme Court discussed the factors that may be considered when there are no “magic words” in a statute (i.e. “immunity is waived”).

This analysis was necessary since the Legislature routinely uses “magic words” when waiving sovereign immunity. See TEX. CIV. PRAC. & REM. CODE ANN. § 63.007(b) (Vernon Supp. 2003) (“The state’s sovereign immunity to suit is waived only to the extent necessary to authorize a garnishment action in accordance with this section.”); TEX. CIV. PRAC. & REM. CODE ANN. § 81.010(d) (Vernon Supp. 2003) (“Governmental immunity to suit is waived and abolished only to the extent of the liability created by Subsection (b).”); TEX. CIV. PRAC. & REM. CODE ANN. § 101.025(a) (Vernon 1997) (“Sovereign immunity to suit is waived and abolished to the extent of liability created by this chapter.”); TEX. CIV. PRAC. & REM. CODE ANN. § 103.101(a) (Vernon Supp. 2003) (“A person may bring suit against the state under this subchapter, and the state’s immunity from suit is waived.”); TEX. CIV. PRAC. & REM. CODE ANN. § 110.008(a) (Vernon Supp. 2003) (“Subject to Section 110.006, sovereign immunity to suit and from liability is waived and abolished to the extent of liability created by Section 110.005 . . .”); TEX. EDUC. CODE ANN. § 51.901(b) (Vernon 1996) (“The defense of sovereign immunity shall not be available to or asserted by the insurer in any claim against it or in any cause of action arising or growing out of a nuclear incident.”); TEX. FAM. CODE ANN. § 261.110(f) (Vernon 2002) (“Sovereign immunity is waived and abolished to the extent of liability created by this section.”); TEX. GOV’T CODE ANN. § 404.103(b) (Vernon Supp. 2003) (“[T]he state expressly waives all defenses of governmental immunity by and on behalf of the trust company. . . .”); TEX. GOV’T CODE ANN. § 2007.004(a) (Vernon 2000) (“Sovereign immunity to suit and liability is waived and abolished to the extent of liability created by this chapter . . . .”); TEX. GOV’T CODE ANN. § 2007.024(c) (Vernon 2000) (“Sovereign immunity to liability is waived to the extent the governmental entity elects to pay compensation under this subsection.”); TEX. HUM. RES. CODE ANN. § 36.116 (Vernon 2002) (“Except as provided by Section 36.112, this subchapter does not waive sovereign immunity.”); TEX. LOC. GOV’T CODE ANN. § 262.007(d) (Vernon Supp. 2004)(“This section does not waive a defense or a limitation on damages available to a party to a contract, other than a bar against suit based on sovereign immunity.”); TEX. NAT. RES. CODE ANN. § 52.035(c) (Vernon 2001) (“The state waives its right to claim sovereign immunity . . . .”); TEX. NAT. RES. CODE ANN. § 89.087(d) (Vernon 2001) (“Except to the extent permitted by this chapter . . . the State of Texas [is] immune from suit and liability . . .”); TEX. PROP. CODE ANN. § 74.506(c) (Vernon Supp. 2003) (“The state’s immunity from suit without consent is abolished with respect to suits brought under this section . . . .”); TEX. PROP. CODE ANN. § 76.505(c) (Vernon Supp. 2003) (“The holder’s [school district, municipality, or county] immunity from suit without consent is waived with respect to a suit under this section.”); TEX. CONST. Art. 3, § 49-k(j) (“. . . the sovereign immunity of the state is waived for that purpose.”)

Because this degree of clarity is usually employed by the Legislature, the Supreme Court has given four rules for determining whether a statute without “magic words” waives immunity:

**Rule 1:** The statute must waive immunity “beyond doubt”, such as when the statute is utterly meaningless in the absence of a waiver.

**Rule 2:** All ambiguities must be resolved in favor of retaining immunity.
Rule 3: If the Legislature requires that the State be joined in a lawsuit, then immunity from suit has been intentionally waived.

Rule 4: It must be considered whether the statute also provides an objective limitation on the State’s potential liability.

Taylor, 106 S.W.3d at 697-698.

Understanding that the legislature has repeatedly enacted many statutes with clear and unambiguous waivers of immunity, the analysis brings us back to where we started. The trial and appellate courts are only called to decide whether a specific statute clearly and unambiguously waives immunity. See Wichita Falls State Hospital v. Taylor, 48 S.W.3d 782, 788 (Tex. App.—Waco 2001) (J. Gray, dissenting), rev’d, on other grounds, 106 S.W.3d 692 (Tex. 2003). If the statute is confusing or seems less than clear, then immunity should remain intact.

3. The Rule

There is no direct rule of civil procedure that addresses pleas to the jurisdiction. The most applicable rule is TEX. R. CIV. P. 85, which deals with contents of the defendant’s answer including pleas to the jurisdiction. Because there are no rules of civil procedure specifically addressing pleas to the jurisdiction, there are no rules dealing with the procedural safeguards of pleas to the jurisdiction. The Texas Supreme Court has therefore indicated that the Texas Rules of Civil Procedure may be amended to address plea to the jurisdiction procedures. Miranda, 133 S.W.3d at 232. Until then, the common law procedures adopted by the appellate courts may vary from county to county and court to court. Miranda, 133 S.W.3d at 235 (Jefferson, J., dissenting).

4. The Form

A plea to the jurisdiction may be included in the answer or filed as a separate pleading. TEX. R. CIV. P. 85. The lack of jurisdiction may be asserted in a plea to the jurisdiction, a motion for summary judgment “or otherwise.” Sykes, 2004 WL 1194127 at *2. It is not necessary to verify a plea to the jurisdiction. See, e.g., American Pawn, 923 S.W.2d at 672.

5. Waivable and Non-Waivable Grounds

When the government is sued, the lack of jurisdiction can be raised at any time, even on appeal, by the parties or by the court itself sua sponte. White, 13 S.W.3d at 823; See Brown, 8 S.W.3d at 336 (Gray, J. concurring). For example, if the plaintiff files an amended petition adding another theory of recovery after the plea to the jurisdiction is filed, the new theory may nevertheless be attacked on appeal since subject matter jurisdiction is an issue that can be raised at any time. City of Midland v. Sullivan, 33 S.W.3d 1, 4 at n.4 (Tex. App. – El Paso 2000, pet. Dism. w.o.j.) If the plea to the jurisdiction is not timely appealed, however, the appellate court does not have jurisdiction to consider the trial court’s ruling on the plea until a final judgment is entered. Denton County v. Huther, 43 S.W.3d 665, 667 (Tex.App. - Fort Worth 2001, no pet.).

Immunity from suit can also be affirmatively waived by certain acts of the government. The Supreme Court has held that immunity from suit is waived by the government filing suit, and by intervening in a suit. Reata Construction Corp. v. City of Dallas, 2004 WL 726906 (Tex. April 2, 2004). The Supreme Court is also considering whether immunity from suit is waived by the enacting language of “sue and be sued” and “plead and be impleaded” found in city charters and the Local Government Code. See City of Mexia v. Tooke, 115 S.W.3d 618 (Tex. App.—Waco 2003, pet. granted); Satterfield & Pontikes Const. v. Irving ISD, 123 S.W.3d 63 (Tex. App.—Dallas 2003, pet. filed); Goerlitz v. City of Midland, 101 S.W.3d 573 (Tex. App.—El Paso 2003, pet. filed); Alamo Community College Dist. v. Browning Const., 131 S.W.3 146 (Tex. App.—San Antonio 2004, pet. filed); City of Houston v. Clear Channel Outdoor, 2004 WL 63561(Tex. App.—Houston [14th Dist.] 2004, pet. filed).

**Practice Pointer for Defendant:** Upon receiving the plaintiff’s petition, determine within the four corners of the petition whether it states a claim. Does the petition mention the Texas Tort Claims Act? Does it explain how the claim falls into one of the three areas where immunity is waived? Are the various claims for damages recoverable under TTCA §§ 101.021, .023 & .024? If not, the petition probably does not state a claim against a governmental entity. Immediately pursue a plea to the jurisdiction. Make this the very first defense of the lawsuit.

E. Who Can Assert a Plea to the Jurisdiction

1. Governmental Entities

Only a governmental entity can assert a plea to the jurisdiction based on sovereign immunity. Denton
County, 22 S.W.3d at 116. A government employee, in his individual capacity, cannot assert a plea based on official immunity or the bar of TTCA § 101.106. Texas Dept. of MHMR v. Pearce, 16 S.W. 3d 456 at *1 (Tex. App.—Waco 2000, pet. denied.). However, because a suit against a government employee in his official capacity is actually against the entity itself, a government employee sued in his official capacity can assert a plea to the jurisdiction. Friona ISD v. King, 15 S.W.3d 653, 657 n.3 (Tex. App. – Amarillo 2000, no pet.).

2. Governmental Employees

Under TTCA § 101.106, the filing of suit against a governmental entity constitutes an irrevocable election by the plaintiff which forever bars any suit or recovery by the plaintiff involving the same subject matter against a governmental employee. TEX. CIV. PRAC. & REM. CODE § 101.106 (Vernon Supp. 2004). See also Thomas v. Oldham, 895 S.W.2d 352, 355 (Tex. 1995) (prior statute). Based on the 2003 amendments to the TTCA, the bar of TTCA § 101.106 likely gives the employee both immunity from suit and immunity from liability. Because the prior version of the statute did not provide for immunity from suit, only immunity from liability, this is a significant change in the law. See Aquirre v. City of San Antonio, 100 S.W.3d 247, 248 (Tex. App. - San Antonio 2001, pet. denied) (government employee not entitled to assert immunity in a plea to the jurisdiction).

F. Discovery

No discovery is necessary to pursue a plea to the jurisdiction, as the trial court’s ruling, at least initially, is based on the face of the plaintiff’s petition. Moreover, if the trial court does not have jurisdiction, it does not have the power to allow discovery, by either the plaintiff or the governmental defendant. Until the trial court’s jurisdiction is invoked by the plaintiff, discovery is improper. City of Galveston v. Gray, 93 S.W.3d 587, 591-92 (Tex. App.—Houston [14th Dist.] 2002, pet. denied). If the governmental defendant propounds discovery, an argument can be made that the government

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**Practice Pointer for Government Employee Defendant:** An individual is not a “governmental unit” that can take an interlocutory appeal under CPRC § 51.014(8) for denial of a plea to the jurisdiction. An individual, however, can take an interlocutory appeal under CPRC § 51.014(5) that “denies a motion for summary judgment that is based on an assertion of immunity by an individual.” The 2003 amendments to TTCA § 101.106 likely give a government employee immunity from suit. When a government employee is sued with the governmental entity, consider:

1. filing a motion for summary judgment seeking dismissal of the employee based on immunity from suit under TTCA § 101.106; and
2. if summary judgment is denied, the employee may file an interlocutory appeal under CPRC § 51.014(5).
has waived immunity by its actions (similar to the waiver found in *Reatta Construction*, supra).\(^2\)

**PRACTICE POINTER FOR DEFENDANT:** If the plaintiff serves written discovery with the original petition or immediately thereafter, the defendant should consider filing a motion to quash the discovery until the question of the court’s jurisdiction is resolved. Abating discovery is common practice by public officials sued in federal court upon the assertion of the defense of qualified immunity, which, like sovereign immunity, is an immunity from suit. *Jacquez v. Procunier*, 801 F.2d 789 (5th Cir. 1986); *Lion Boulos v. Wilson*, 834 F.2d 504 (5th Cir. 1997).

G. Plaintiff’s Response

If the plaintiff’s petition alleges sufficient facts to establish a waiver of immunity, dismissal for want of jurisdiction is inappropriate. *Lee*, 38 S.W.3d at n.3 (Livingston, J. concurring). On the other hand, if the defendant’s plea to the jurisdiction is valid, the plaintiff has a choice. The plaintiff can either (1) non-suit the governmental defendant without prejudice, or (2) file an amended petition under the fair notice standards of TRCP 45 and 47 alleging a claim under the Texas Tort Claims Act or other applicable statute, thereby making the claim viable. If the governmental defendant files evidence supporting its plea to the jurisdiction, to avoid the potential of dismissal the plaintiff should (in addition to filing an amended petition) file jurisdictional evidence supporting a waiver of immunity. *See Miranda*, 133 S.W.3d at 227.

**PRACTICE POINTER FOR PLAINTIFF:** To adequately state a tort claim against most governmental entities, plead facts sufficient to show (1) timely notice under TTCA § 101.101; (2) waiver of immunity under TTCA § 101.021; and (3) proper damages under TTCA §§ 101.021, .023 & .024.

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\(^2\) This section (Discovery) is a source of dispute between the authors. One of the authors strongly believes that discovery – limited to disputed fact issues on jurisdiction – is and should be allowed. Time will prove one of us right.

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### H. The Plea Hearing

1. **Presumptions**

At the plea to the jurisdiction hearing the trial court’s power to hear the case is at issue. When deciding a plea to the jurisdiction, the trial court must initially base its decision on the allegations in the plaintiff’s live pleading and accept the factual allegations as true. *City of El Campo v. Rubio*, 980 S.W.2d 943, 945 (Tex. App.—Corpus Christi 1998, pet. dism’d w.o.j.). The court must construe them liberally in favor of the plaintiff, *Miranda*, 133 S.W.3d at 226; *White*, 13 S.W.3d at 822, but the court is bound neither by the legal conclusions nor by any illogical factual conclusions that the plaintiff draws from the facts plead. *See Salazar v. Morales*, 900 S.W.2d 929, 932 n.6 (Tex. App.—Austin 1995, no writ).

2. **Question of Law**


3. **Burden of Proof**

Although the defendant is usually the moving party on a plea to the jurisdiction, the plaintiff is the party seeking to invoke the court’s jurisdiction. Accordingly, the plaintiff bears the burden of establishing jurisdiction. *White*, 13 S.W.3d at 822; *Texas Ass’n of Bus.*, 852 S.W.2d at 446. There are conflicting opinions, however, about the burden of establishing an exemption under TTCA §§ 101.051- .066. *Compare Texas Dep’t of Trans. v. Ramirez*, 72 S.W.3d 376 (Tex. App.—Austin 2001) re’v on other grnds., 74 S.W.3d 864 (Tex. 2002) (defendant has the burden of proof) *with City of Dallas v. Adams*, 2001 WL 253751 (Tex. App.—Dallas 2001, no pet.) (not designated for publication) (plaintiff has the burden of proof).

4. **Evidence**

When deciding a plea to the jurisdiction the trial court must look to the allegations in the petition and must further consider evidence “when necessary to resolve the jurisdictional issue.” *Miranda*, 133 S.W.3d at 223; *Bland ISD v. Blue*, 34 S.W.3d 547 (Tex. 2000). This is a significant change. In the past few years, the vast majority of appellate decisions stated that no evidence could be considered in ruling on a plea to the jurisdiction. *See, e.g., Lira*, 17 S.W.3d 300 at n.7; *Pearce*, 16 S.W.3d 456. The trend was to consider evidence only if
the party asserting the plea contended the allegations in the plaintiff’s petition were false and made only to confer jurisdiction. Denton County, 22 S.W.3d at 119. This standard by the intermediate appellate courts has been discarded by the Texas Supreme Court.

In Miranda and other recent decisions, the Supreme Court opened the door to evidence in a plea to the jurisdiction hearing. Miranda, 133 S.W.3d at 223, citing Brown, 80 S.W.3d at 556 and Tex. Dept. of Criminal Justice v. Miller, 51 S.W.3d 583, 587 (Tex. 2001). Litigation will likely ensue regarding the amount and relevancy of evidence applicable to the jurisdictional issue. The Supreme Court has stated that “the issues raised by a plea to the jurisdiction are often such that they cannot be resolved without hearing evidence,” Blue, 34 S.W.3d at 554, and the trial court “must consider evidence when necessary to resolve the jurisdictional issues.” Miranda, 133 S.W.3d at 223 (italics in original). Where is this line drawn? And when is evidence necessary to resolve a jurisdictional issue? The proper function of a plea to the jurisdiction does not authorize an inquiry so far into the substance of the claims presented that plaintiffs are required to put on their case simply to establish jurisdiction. Blue 34 S.W.3d at 544. On the other hand, there are situations where a plaintiff is required to prove facts that are characterized as “primarily jurisdictional.” Id.. While the evidence may touch on the merits of the case, it should focus on jurisdictional immunity issues. The trial court should, of course, confine itself to the evidence relevant to the jurisdictional issue. Id. at 555.

**Practice Pointer for Defendant:**

Consider filing a “speaking” plea to the jurisdiction. For example, an affidavit by a city employee may be used to show the claim is barred by a pre-1970 act or omission under TTCA § 101.061. See Horton, 4 S.W.3d at 55. An affidavit of a government employee may also be used to show the governmental entity had no notice of claim as required under TTCA § 101.101. State of Texas v. Kreider, 44 S.W.3d 258 (Tex. App. - Fort Worth 201, pet. denied). Alternatively, a certified copy of the city notice ordinance and accident report may also help demonstrate the plaintiff failed to provide notice of claim under TTCA § 101.101. See City of Houston v. James, 1998 WL 802478 (Tex. App.—Houston [14th Dist.] 1998, no writ) (not designated for publication). Business record affidavits could also be used to offer government documents. All types of relevant evidence are permissible at the plea hearing. Miranda, 2004 WL 7269901 at *6 and n.6. Strategically, it would seem preferable to use documentary evidence at the hearing, as opposed to offering testimony from a government client. This approach would limit cross-examination of your client (and the potential for admissions against interest).

5. **Procedure**

The Texas Supreme Court instituted new procedures in Miranda when adjudicating pleas to the jurisdiction. When evidence is submitted by the government supporting a plea to the jurisdiction, the summary judgment standards of TRCP 166a(c) have been engrafted onto plea to the jurisdiction procedures. “If the plaintiff’s factual allegations are challenged with supporting evidence necessary to consideration of the plea to the jurisdiction, to avoid dismissal plaintiffs must raise at least a genuine issue of material fact regarding jurisdictional facts to overcome the challenge to the trial court’s subject matter jurisdiction.” Miranda, 133 S.W.3d at 221. When evidence is involved at the plea to the jurisdiction hearing, the trial court reviews the evidence to determine if a fact issue exists. Miranda, 133 S.W.3d at 228. If the evidence of jurisdictional facts is conflicting, the trial court cannot grant the plea to the jurisdiction and the issue must be resolved by the finder of fact. Miranda, 133 S.W.3d at 227-28. Note, however, that the summary judgment timing issues (i.e. 21 day notice of hearing, etc.) do not apply to pleas to the jurisdiction. See Miranda, 133 S.W.3d at 235 (Jefferson, J., dissenting).

6. **The Ruling**

If the plea to the jurisdiction is granted, the proper remedy is dismissal with prejudice. Harris County v. Sykes, 2004 WL 1194127 at *1. The plaintiff then has the usual appellate rights to appeal a final judgment against the governmental entity. If the plea to the jurisdiction is denied, the governmental entity has the right to pursue an accelerated interlocutory appeal of the decision. TEX. CIV. PRAC. & REM. CODE § 51.014(8). The governmental entity may waive this right, and reassert it’s immunity defense at summary judgment, trial and, if necessary, on appeal following the judgment. White, 13 S.W.2d at 823.
I. Unresolved Issues

1. Partial Pleas

The common law does not specifically authorize a plea to the jurisdiction directed to less than the entire petition. It also does not specifically prohibit such a plea, except, at times, in the Waco and several other intermediate courts of appeals. Taking the lead, the Waco court of appeals has sometimes taken the stance that a plea to the jurisdiction that addresses only part of the plaintiff’s claims, without addressing every claim, is improper. City of Cleburne v. Trussell, 10 S.W.3d 407 (Tex. App—Waco 2000, no pet.); Aledo Indep. Sch. Dist. v. Chocow Prop., L.L.C., 17 S.W.3d 260, 262 (Tex. App.—Waco 2000, no pet.); see also Life Mgmt Center for MHMR v. Cruz, 2003 WL 22923927 (Tex. App.—El Paso 2003, no pet.); Texas Dept of Parks & Wildlife v. Steinhagen, 2001 WL 47667 (Tex. App.—Beaumont 2001, no pet.); and City of Edinburg v. Garles, 2002 WL 91338 (Tex. App.—Corpus Christi 2002, no pet.). This line of opinions (hereafter called the “Trussell line of cases”) seems clearly contrary to the holdings of the Texas Supreme Court and other intermediate appellate courts. See Duhart, 610 S.W.2d at 74 (court lacked jurisdiction over single claim of exemplary damages); Texas Parks & Wildlife Dept. v. Callaway, 971 S.W.2d 145 (Tex. App.—Austin 1998, no pet.) (inverse condemnation claim properly plead, but declaratory judgment and trespass to try title claims subject to plea to the jurisdiction); Texas Southern Univ. v. Araserve Campus Dining Servs., 981 S.W.2d 929, 935 (Tex. App.—Houston [1st Dist.] 1998, pet. denied); Nueces County v. Thornton, 2004 WL 396608 (Tex. App.—Corpus Christi 2004, n.p.h.); Durbin v. City of Winn스boro, 135 S.W.3d 317 (Tex.App—Texarkana 2004, n.p.h.); and City of Midland v. Sullivan, 2000 WL 1035380 (Tex. App.—El Paso 2000, pet. dism’d w.o.j.) (plea to jurisdiction as to a portion of the claims was sustained). For example, if the plaintiff makes an improper demand for exemplary damages, a plea to the jurisdiction could be used to strike these claims for relief, because the damages are not recoverable as a matter of law. TEX. CIV. PRAC. & REM. CODE ANN. § 101.024. As another example, if the plaintiff alleged various claims, one of which sought property damages on a premise liability claim, a plea to the jurisdiction would seem quite proper, since property damages are not recoverable for premise liability claims under TTCA § 101.021(2). City of San Antonio v. Winkenhower, 875 S.W.2d 388, 390 (Tex. App.—San Antonio 1994, writ denied); State Dept’t of Highways & Pub. Transp. v. Pruitt, 770 S.W.2d 638, 639 (Tex. App.—Houston [14th Dist.] 1989, no writ); DeAnda v. County of El Paso, 581 S.W.2d 795 (Tex. Civ. App.—El Paso 1979, no writ). Yet, under the partial plea analysis of these intermediate appellate courts, these claims would not be subject to a plea to the jurisdiction because they do not encompass the entire case. Even the Waco court, itself, has contradicted the holding in the Trussell line of cases by sustaining a portion of a plea to the jurisdiction, and reversing and remanding as to other claims. Padgett v. City of Madisonville, 2004 WL 254014 (Tex. App.—Waco 2004, no pet.) (not designated for publication); Cozby v. City of Waco, 110 S.W.3d 32 (Tex. App.—Waco 2002, no pet.). Therefore, the Trussell line of cases, which has its genesis in the Waco court, seems dubious authority.

2. Notice of Claim

The TTCA § 101.101 requires the plaintiff to give written notice of the claim to the governmental entity within six months of the incident as a prerequisite to filing suit. Stanton v. University of Health Sciences Center at Dallas, 997 S.W.2d 628, 629-30 (Tex. App.—Dallas 1998, pet. denied). There is a dispute as to whether the plaintiff must plead notice in the petition, and whether failure to plead notice of the claim is a jurisdictional defect. Compare Stanton, 997 S.W.2d at 629-30 and University of Southwestern Medical Ctr. v. Loutzenhiser, 2002 WL 1565742 (Tex. App.—Dallas 2002, pet. granted) (not designated for publication) (notice is immaterial to jurisdiction) with Brown, 8 S.W.2d 331; City of Houston v. Lazeli-Mosier, 5 S.W.3d 887 (Tex. App.—Houston [14th Dist.] 2002, no pet.); State v. Gafford, 2003 WL 22011302 (Tex. App.—San Antonio 2003, no pet.) (not designated for publication) (notice is a jurisdictional defect).

3. Special Exceptions

The Waco court or appeals has held that, where the plaintiff’s petition fails to establish the trial court’s jurisdiction but could “conceivably” be amended to establish jurisdiction, a government defendant must attack
the petition by special exception before seeking to have the suit dismissed for want of jurisdiction. *Godley ISD v. Woods*, 21 S.W.3d 656, 657 (Tex. App.—Waco 2000, pet. denied). The failure of the government defendant to file special exceptions will apparently result in affirmance of the trial court’s denial of the plea to the jurisdiction, at least before the Waco appellate court. *Id.* at 661. Note, however, a strong dissenting opinion was filed by Justice Tom Gray stating that this special exception procedure is not required by the Legislature and would frustrate the legislative purpose of allowing interlocutory appeals of pleas to the jurisdiction. *Woods*, 21 S. W.3d at 661-2 (J. Gray, dissenting). Further, if the special exception procedure is followed, the government defendant would be effectively denied the immediate ability to bring an interlocutory accelerated appeal as specifically authorized by the Legislature. *Id.* at 661-2. The San Antonio court of appeals has similarly held that special exceptions should be pursued before a plea to the jurisdiction is adjudicated. *Webb County v. Sandoval*, 88 S.W.3d 290, 295 (Tex. App.—San Antonio 2002, no pet.); *Alamo Community College Dist. v. Browning Const.*, 131 S.W.3d 146, 156 (Tex. App.—San Antonio 2004, pet. filed). These holdings may be questionable authority in the future.

The Supreme Court has held that a plaintiff should be given “an appropriate opportunity to amend” after a plea to the jurisdiction is filed. *Sykes*, 2004 WL 1194127 at *3. If the plaintiff thereafter files an amended petition, special exceptions are not required, since the plaintiff was given the opportunity to amend. *Miranda*, 133 S.W.3d at 231; *Sykes*, 2004 WL 1194127 at *3. If the plaintiff does not replead after the plea to the jurisdiction is filed, it is uncertain under the law whether special exceptions are required and whether the plaintiff has been given “an appropriate opportunity to amend” under *Miranda* and *Sykes*. Logically, the governmental entity cannot file special exceptions because the trial court has no jurisdiction over the government, and further, the trial court only has the jurisdiction to determine whether it has jurisdiction. See *Sykes*, 2004 WL 1194127 at *5 (J. Brister and O’Neill, concurring) (“courts have jurisdiction to determine their own subject matter jurisdiction”). Presumably, the plaintiff would be given the opportunity to amend by having sufficient time between the filing of the plea to the jurisdiction and the subsequent hearing on the plea. Under *Miranda* and *Sykes*, special exceptions would not seem necessarily required before filing and hearing a plea to the jurisdiction.

## J. Pleading Examples

### 1. Adequate Pleading

- **Inverse condemnation.** Allegation: claim for taking of plaintiff’s property under TEX. CONST. art. I § 19, declaratory judgment, trespass to try title and attorneys fees. *Holding:* inverse condemnation claim properly plead because plaintiff had a property interest entitled to due process, but declaratory judgment, trespass to try title and attorneys fees claims improperly plead, and thus subject to a plea to the jurisdiction, because a suit against the State for title to land cannot be maintained without legislative consent. *Callaway*, 971 S.W.2d 145; *Compare Kerr v. TxDOT*, 45 S.W.3d 248 (Tex. App.—Houston [1st Dist.] 2001, no pet.) (360 downstream residents properly plead claims for constitutional taking).

- **Non-negligent nuisance.** Allegation: plaintiff alleged a drainage nuisance, of which the county knew or should have know, and failed to correct the drainage causing damage and depreciation to real property. The county voluntarily and intentionally or negligently interfered with the use and enjoyment of the plaintiff’s property. *Holding:* non-negligent nuisance claim was properly stated. *Montgomery County v. Fuqua*, 22 S.W.3d 662 (Tex. App.—Beaumont 2000, pet. denied).


- **Premise liability [TTCA §§ 101.021(2), 101.022]** Allegation: premise liability claim where clumps of grass and debris from city mowing caused motorcycle accident. *Holding:* although the petition was not well-plead, it was sufficient as the court construed the allegations in favor of the plaintiff. *City of Houston v. Camp*, 1999 WL 213097 (Tex. App.—Houston [1st Dist.] 1999, no pet.) (not designated for publication).

- **Premise liability: Traffic devices [TTCA §§ 101.021(2), 102, 56, 060]** Allegation: premise liability claim where plaintiff alleged he was injured due to the unusually slippery condition of the road maintained by the city. Plaintiff also alleged city was negligent in failing to have proper warning signs
erected. **Holding:** As to the premise liability claim, plaintiff alleged a cause of action in which immunity has been waived. As to failing to erect warning signs, initial sign placement can be a discretionary or non-discretionary function under §§ 101.056, .060, and because the court is to evaluate the pleadings in favor of the plaintiff, the pleadings do not necessarily implicate a discretionary function. *City of Mesquite v. Crawford*, 2000 WL 1033067 (Tex. App.—Dallas 2000, no pet.) (not designated for publication).

**Whistleblower.** **Allegation:** plaintiff alleged suit was a whistleblower claim brought pursuant to Chapter 554 of the Government Code, and that he was a public employee of a county and was terminated because he reported illegal computer activity by county chaplain. **Holding:** plaintiff referenced a statute that specifically waives sovereign immunity and alleged facts stating a whistleblower claim. *Denton County*, 22 S.W.3d 113.

**Notice of claim [TTCA § 101.101].** **Allegation:** Plaintiff plead that all conditions precedent have occurred including compliance with § 101.101, and alternatively, the city had actual notice pursuant to § 101.101(c). **Holding:** The allegation is facially sufficient to invoke the trial court’s jurisdiction with regard to notice under the TTCA. *City of Houston v. Lazell-Mosier*, 5 S.W.3d 887 (Tex. App. - Houston [14th Dist.] 2000, n.p.h.)

**Breach of contract (and beyond?).** The Supreme Court is considering whether a governmental entity waives immunity from suit (for breach of contract and perhaps all claims) by the enacting language of “sue and be sued” and “plead and be impleaded” found in city charters and the Local Government Code. See *City of Mexia v. Tooke*, 115 S.W.3d 618 (Tex. App.—Waco 2003, pet. granted); *Satterfield & Pontikes Const. v. Irving ISD*, 123 S.W.3d 63 (Tex. App.—Dallas 2003, pet. filed); *Goerlitz v. City of Midland*, 101 S.W.3d 573 (Tex. App.—El Paso 2003, pet. filed); *Alamo Community College Dist. v. Browning Const.*, 131 S.W.3 146 (Tex. App.—San Antonio 2004, pet. filed); *City of Houston v. Clear Channel Outdoor*, 2004 WL 63561(Tex. App.—Houston [14th Dist.] 2004, pet. filed).

2. **Inadequate Pleading**

- **Use of tangible property: government employee [TTCA § 101.021(2)]:** **Allegation:** State hospital allowed involuntary committed patient to keep his suspenders and walker which he used to commit suicide. **Holding:** TTCA 101.021(2) waives immunity for use of personal property only when a government employee, not the plaintiff or third party, is the user of the property. Merely allowing an injured party to use his own property is not a “use” of property waiving immunity. *San Antonio State Hosp. v. Cowan*, 128 S.W.3d 244 (Tex. 2004).

- **Use of motor-driven equipment: Proximate cause [TTCA § 101.021(1)]:** **Allegation:** Plaintiff plead that a stationary electric pump is motor driven equipment that caused plaintiff’s property damages. The pump was installed to remove gasoline fumes which were migrating onto the plaintiff’s property from adjacent property which held underground gasoline storage tanks. The TNRCC removed the pumps and six days later, the fumes ignited on plaintiff’s property. **Holding:** While the pump is considered motor driven equipment, its use did not cause the plaintiff’s damages. *TNRCC v. White*, 46 S.W.3d 864 (Tex. 2000).

- **Premise liability - furnishing a background condition [TTCA § 101.021(2)]:** **Allegation:** personal injuries arose from use and condition of the lake in hit and run boating accident. **Holding:** Use of the lake did not cause injury. “Property does not cause injury if it does nothing more than furnish the condition that makes the injury possible.” *Garrett Place, Inc.*, 972 S.W.2d at 140.

- **Premise Liability.** [TTCA § 101.021(2)]. Traffic congestion and a stalled commercial vehicle on a roadway are not a premise defect. *City of Laredo v. Velasco*, 40 S.W.3d 717 (Tex. App.—San Antonio 2001, no pet.)

- **Use of property; Proximate cause [TTCA § 101.021(2)]:** **Allegation:** plaintiff sexually assaulted by HIV patient in state mental hospital claimed injuries due to leaving door to patient’s room unlocked and providing no lock on door separating men’s and women’s wings, as a use and condition of tangible personal property. **Holding:** Property does not cause injury if it does no more than furnish the condition that makes the injury possible. The alleged property was too attenuated from the plaintiff’s injuries to be said to have caused them.

Use or misuse of property: Proximate cause [TTCA § 101.021(2)]: Allegation: Wife of deceased husband sued medical school for mishandling remains of husband, commingling husband’s remains with remains of up to 100 other people, and misusing cremation equipment, storage barrels, and common graves. Holding: Petition does not state a claim because mishandling of the body, not use of property, caused the injury. Clark v. U.T. Southwestern Med. Ctr. at Dallas, 2001 WL 128593 (Tex. App.—Dallas 2001, no pet.).

Use or misuse of information: Non-use of property [TTCA § 101.021(2)]: Allegation: The alleged use and misuse of tangible property, radio equipment, in failing to timely contact and dispatch emergency personnel in response to 911 emergency calls contributed to plaintiff’s death. Holding: The use or misuse of information does not amount to the use or misuse of tangible property so as to waive immunity under Section 101.021(2). Also, the non-use of property does not support a claim under the TTCA. Lira, 17 S.W. 3d 300 at n.4.

Same result obtained with or without use of property: Proximate cause [TTCA § 101.021(2)]: Allegation: Bus struck plaintiff while crossing the street, after police signaled bus through a red light with a whistle and arm movement. Holding: Arm movement did not involve use of tangible property, and same result (death) would have obtained without use of whistle. Use of whistle therefore was not the proximate cause of the accident. Aquirre v. City of San Antonio, 100 S.W.3d 247 (Tex. App.—San Antonio 2001, pet. denied).


Non-Use of property [TTCA § 101.021(1) and (2)]: Allegation: personal injuries arose when officer failed to use his vehicle to direct traffic around hazardous road condition. Holding: Although plaintiff couched his petition in terms of use of a motor-driven vehicle and tangible personal property, in reality, the claim was based on a non-use of property. Non-use of property does not state a claim. Texas Dept. of Public Safety v. Seraette, 2000 WL 816053 (Tex. App.—Dallas 2000, no pet.) (not designated for publication).

Notice of claim [TTCA § 101.101]. Alleging proper and timely notice of claim is a jurisdictional requirement, and minors suing under the TTCA are not excused from compliance with the six month notice requirement. State of Texas v. Kreider, 44 S.W.3d 258 (Tex. App.—Fort Worth 2001, pet. denied).

Exemplary damages. [TTCA § 101.024]: Allegation: wrongful death suit where plaintiffs sued for exemplary damages. Holding: Based on special exceptions and a plea in abatement, the Texas Supreme Court upheld the trial court’s dismissal for want to jurisdiction because governmental entities are immune from exemplary damages. Duhart, 610 S.W.2d at 742 (Tex. 1980). Contra Henry, 52 S.W.3d 434 (request for exemplary damages not a jurisdictional issue, but an affirmative defense).

III. INTERLOCUTORY APPEALS

A. Overview

1. Introduction

A judge’s ruling on a motion in the course of a lawsuit may generally be appealed only after a judgment has become final. However, under certain limited circumstances, a judge’s ruling may be appealed immediately in an interlocutory appeal.

The rationale for an interlocutory appeal is simple. Some rulings are so important and fundamental that they should be resolved before a trial can proceed. If the judge does not make the correct ruling, the entire proceeding will be tainted and must be retried. This drains judicial resources and forces the parties to endure another full-blown trial of the same matter.

For decades, Texas law did not allow interlocutory appeals when trial courts incorrectly decided their authority to exercise jurisdiction over a party. All too often, governmental defendants incurred the time and expense of a trial in a district court only to have the appellate court rule that the court below did not have proper jurisdiction over the defendant. The courts and litigants would waste their resources because the trial court’s initial ruling on jurisdiction could not be reviewed immediately through an interlocutory appeal. Brown, 8 S.W.3d at 336.

Although many pleas to the jurisdiction are addressed on a regular appeal (when, for example a plea is granted), approximately 60% of all appeals of a trial court’s ruling on a plea to the jurisdiction are interlocutory appeals. Hence, this section (unless otherwise specified) will deal with issues relating only to interlocutory appeals. The tables supporting these statistics are contained in the appendices to this paper.

2. The Statute

In 1997, the Texas legislature resolved the problem by amending the interlocutory appeal statute to state: “[A] person may appeal from an interlocutory order of a district court, county court at law, or county court that . . . grants or denies a plea to the jurisdiction by a governmental unit as that term is defined in § 101.001.” TEX. CIV. PRAC. & REM. CODE § 51.014(a)(8).

Since enactment of section 51.014(a)(8), interlocutory appeals involving pleas to the jurisdiction have exploded. This area is fertile ground for exploring and developing the law of sovereign immunity.

3. Venue

Statistically speaking, venue of a case could be important in the outcome of the appeal – whether it be interlocutory or standard. In the Dallas court of appeals, the governmental entity has only a 37% chance of winning an interlocutory appeal of the denial of a plea to the jurisdiction, but a 46% chance when regular appeals are factored in. The Austin, Dallas and Waco courts are all below 40% for the governmental entity on interlocutory appeal, but all rise significantly when regular appeals are factored in. Fort Worth, San Antonio, Amarillo, El Paso, and Eastland are all at or above 60% in favor of the governmental unit on interlocutory appeal, and when you factor in regular appeals, Beaumont and Tyler join them in the stratosphere. Interestingly, in the Supreme Court, the governmental entities prevail in more than 85% of the interlocutory appeals and nearly 80% of all plea to the jurisdiction appeals (assuming the Supreme Court decides to hear the case). Overall, on interlocutory appeal the governmental entity prevails approximately 51% of the time. When regular appeals are added, the governmental entity prevails nearly 58% of the time. [As a caveat, please note these statistics do not account for cases dismissed or denied without an appeal. These statistics simply reflect appeals involving governmental entity pleas to the jurisdiction reported on Westlaw.]

4. Multiple Interlocutory Appeals

The Texas legislature has granted the right to an interlocutory appeal for both (1) the denial of official immunity (by governmental employees) and (2) the denial of pleas to the jurisdiction (by governmental entities).
TEXT. CIV. PRAC. & REM. CODE §§ 51.014(a)(5) & (8). Counting the ability to appeal the final judgment, a governmental entity and its employee may have three possible appeals of the trial court’s decisions.

5. Partial Pleas

A plea to the jurisdiction should include all grounds available to the defendant at the time of the plea. The Houston court of appeals, 14th District, suggested that the legislature review the state of the law to perhaps restrict the ability to take interlocutory appeals from two different pleas to the jurisdiction in the same case. Bridges, 20 S.W.3d at 118 n.9. (“While we find nothing in the statute to disallow the City two bites at the interlocutory apple, the practice should be discouraged in the interests of judicial economy.”)

6. Summary Judgments

The interlocutory appeal statute grants interlocutory appellate jurisdiction to the grant or denial of pleas to the jurisdiction. TEXT. CIV. PRAC. & REM. CODE § 51.014(a)(8). The statute does not mention motions for summary judgment based on sovereign immunity. However, Three courts of appeals have held that the substance of the pleading determines whether it is actually a jurisdictional plea and have concluded that a summary judgment that challenges a court’s jurisdiction to hear the case may be appealed immediately. See Baylor College of Medicine v. Tate, 77 S.W.3d 467, 472 (Tex. App.—Houston [1st Dist.] 2002, no pet.) (summary judgment on immunity from liability is not a plea to jurisdiction); City of Houston v. King, 2002 WL 1041174 *4 (Tex. App.—Houston [1st Dist.] 2002, no pet.) (not designated for publication) (same); Bexar County v. Gant, 70 S.W.3d 289, 291-292 (Tex. App.—San Antonio 2000, pet. denied) (summary judgment challenging jurisdiction to hear case was plea to jurisdiction); Bexar County v. Bloom, 2001 WL 540348 *2 (Tex. App.—San Antonio 2001, pet. denied) (not designated for publication) (same); Phifer v. Nacogdoches County Central Appraisal Dist., 45 S.W.3d 159, 170 (Tex. App.—Tyler 2001, pet denied) (holding that a plea in abatement was actually a plea to jurisdiction). See also Alan Wright, LaDawn H. Conway, Debra J. McComas & Heather D. Bailey, Annual Survey of Texas Law Articles, 56 SMU L. REV. 1061, 1077 n.142 (2003) (“Notably, however, a motion for summary judgment based upon an assertion of immunity from suit, as opposed to immunity from liability, may be properly construed as a plea to the jurisdiction, the denial of which would provide a basis for interlocutory appeal under [TEXT. CIV. PRAC. & REM. CODE ANN. § 51.014] section (8)”).

Conversely, four courts of appeal have simply looked at the title of the motion and declined jurisdiction to hear an interlocutory appeal on that sole basis. See Parker County v. Shankles, 2003 WL 22026592 (Tex.App.—Fort Worth, Aug. 26, 2003, pet. filed) (note that briefs on the merits have been filed); Wilco Prod. Co. v. Texas Dep’t of Crim. Justice, 2000 WL 34234566 *2 (Tex. App.—Eastland 2000, no pet.) (not designated for publication) (assertion of immunity from suit in summary judgment motion cannot be considered as a plea to jurisdiction); Brazos Transit Dist. v. Lozano, 72 S.W.3d 442, 445 (Tex. App.—Beaumont 2002, no pet.) (title of the document is determinative); Cozby v. City of Waco, 110 S.W.3d 32, 35-36 (Tex. App.—Waco 2002, no pet.) (same).

The Fourteenth Court has actually ruled both ways. See Vernagallo v. Freeman, 2000 WL 1357206 *2 (Tex. App.—Houston [14th Dist.] 2000, no pet.) (not designated for publication) (look to substance of motion, not title); Thomas v. Long, 97 S.W.3d 300, 302 (Tex. App.—Houston [14th Dist.] 2003, pet granted) (title of pleading is determinative).

The Texas Supreme Court has granted petition in Thomas, and has requested briefing on the merits in Parker County. However, in May, the Supreme Court made a clear statement that substance still rules over form:

If the trial court denies the governmental entity’s claim of no jurisdiction, whether it has been asserted by a plea to the jurisdiction, a motion for summary judgment, or otherwise the Legislature has provided that an interlocutory appeal may be brought.

Harris County v. Sykes, WL 1194127 at *2 (Tex. May 28, 2004). Hence, it is very possible that a summary judgment, special exception or other pleading may also be subject to interlocutory appeal – assuming, of course, that it is directed to sovereign immunity from suit.

7. The Appellate Rules

The following sections contain a chronology of the steps to be taken to file an interlocutory appeal before an intermediate state appellate court and, if unsuccessful, a petition for review in the Texas Supreme Court.
PRACTICE POINTER: The rules were designed to eliminate some of the old traps inherent in appellate practice. Despite this trend toward more “user friendly” appellate rules, counsel need to be familiar with the rules and procedures, since some rules, if not followed, could sound the death knell for an appeal.

PRACTICE POINTER: Several courts of appeals have local rules which may substantially modify the requirements in the new TRAPs. Always check the local rules for the court of appeals in your district before you appeal.

B. Authority
As noted above, Texas appellate courts generally do not have jurisdiction over appeals from interlocutory orders. But TEXAS CIVIL PRACTICE & REMEDIES CODE § 51.014(a)(8) expressly allows an appeal from an order that “grants or denies a plea to the jurisdiction by a governmental unit as that term is defined in Section 101.001.” Section 51.014(a)(8) has been interpreted to allow interlocutory appeals when a governmental unit asserts that a court does not have subject matter jurisdiction – to assert the unit’s immunity from suit, not immunity from liability. Jones, 8 S.W.3d at 638-639.

Due date. The appeal of an interlocutory order denying the assertion of immunity under TEX. CIV. PRAC. & REM. CODE § 51.014(a)(8) is automatically accelerated. TRAP 28.1. A party must perfect an accelerated appeal within 20 days after the judgment or order of which the party is complaining. TRAP 26.1(b). If the notice of appeal is not timely filed, the court of appeals lacks jurisdiction to address the merits of the appeal. Kinnard v. Carnahan, 25 S.W.3d 266 (Tex. App.—San Antonio 2000, no pet.). To illustrate, if the trial court denies a plea to the jurisdiction and the governmental entity later renews the plea with subsequent authority and then tries to appeal, it is too late. A timely appeal must be taken from the original plea to the jurisdiction, a “motion to reconsider” a plea to the jurisdiction which is not substantively different from the original motion does not change the time line. Denton County v. Huther, 43 S.W.3d 665, 667 (Tex. App.—Fort Worth 2001, no pet.).

Extension. The appellate court can extend the deadline to perfect if appellant files a motion for extension and the notice of appeal within 15 days after the notice was first due. TRAP 26.3. The Supreme Court has held that a party who perfects an appeal late, but within 15 days after the deadline, impliedly files a motion for extension of time, even if one is not actually filed. Verburgt v. Dorner, 959 S.W.2d 615 (Tex. 1997). This rule has also been applied to interlocutory appeals. Ace Ins. Co. v. Zurich American Ins. Co., 59 S.W.3d 424 (Tex.App.—Houston [1st Dist.] 2001 pet. denied).

FOFCOL. The trial court need not, but may -within 30 days after the plea to the jurisdiction order is signed - file findings of fact and conclusions of law (“FOFCOL”). TRAP 28.1. It is uncertain whether the request for FOFCOL extends the appellate deadlines. Compare Gene Duke Builders v. Abilene Housing Authority, 2004 WL 422592 (Tex. 2004) (because an evidentiary hearing was conducted under TRAP 26.1(a) dealing with motions for new trial, the request for FOFCOL extended deadlines); Chavez v. Houston Authority of El Paso, 897 S.W.3d 523 (Tex. App.—El Paso 1995, pet. denied); Hernandez v. Tex. Dept. of Ins., 923 S.W.2d 192 (Tex. App.—Austin 1996, no pet.)(request for FOFCOL extended deadlines) with Vaughn v. Sawyer, 2003 WL 21338615 (Tex. App.—San Antonio 2003, no pet.) (not designated for publication); Ford v. City of Lubbock, 76 S.W.3d 795 (Tex. App.—Amarillo 2002 no pet.)(request for FOFCOL did not extend deadlines).

PRACTICE POINTER FOR DEFENDANT: If FOFCOL are requested by the plaintiff after a plea to the jurisdiction is granted, the trial court does not have to prepare FOFCOL. TRAP 28. If requested by the plaintiff and the trial court does not file FOFCOL, it is presumed harmful error unless the appellate record affirmatively shows that the plaintiff suffered no harm. Tenery v. Tenery, 932 S.W.2d 29, 30 (Tex. 1996). Out of abundance of caution, governmental entities should prepare the FOFCOL for approval and entry by the trial court.

Suspension of rules. TRAP 2 permits the court of appeals to suspend any rule in order to expedite the appeal or for other “good cause.” However, the court of appeals cannot extend the deadlines for perfecting the appeal except as provided in TRAP 26.3.
C. Notice of Appeal

1. How to perfect the appeal

Perfecting instrument. A notice of appeal is filed with the trial clerk. TRAP 25.1(a). A copy must also be filed with the appellate court clerk. If, however, the original notice is mistakenly filed in the appellate court, the notice is deemed to have been filed that same day with the trial court clerk, and the appellate court clerk must send a copy of the notice to the trial court clerk. TRAP 25.1(a).

Applies to everyone. All parties who seek to alter the trial court’s judgment or order must perfect their own notice of appeal. TRAP 25.1(c). The appellee can no longer “piggy back” on the appellant’s perfection of an appeal.

Contents: The notice of appeal in an accelerated appeal must:

• identify the trial court, cause number, and style of the case.
• state the date of judgment or order appealed from
• state that the party desires to appeal
• state the court to which the appeal is taken (except for Houston courts of appeals – then, state the appeal is taken to either 1st or 14th court of appeals)
• state the name of each party filing the notice
• state that the appeal is accelerated.

TRAP 25.1(d).

Practice Pointer: Remember that all interlocutory appeals are accelerated by law. TRAP 28.1. Since the time tables in accelerated appeals are shorter, keep a careful calendar so you don’t miss any important dates. Although the rules generally allow for fairly liberal extensions of time, don’t count on the court waiting on you. See Texas Dept. of Crim. Justice v. Watt, 949 S.W.2d 561 (Tex. App.—Waco 1997, no writ) (since old TRAP 42 did not specifically provide for extensions to file brief or record, none could be granted, although court could consider late-filed materials).

Service. The notice must be served on all parties in the trial court. TRAP 25.1(e).

Amendment. The notice can be amended freely until the time the appellant’s brief is filed. After appellant’s brief is filed, the notice can be amended only upon leave of court, and on terms prescribed by the court. TRAP 25.1(f).

Errors in the notice of appeal. If the clerk of the appellate court determines that the notice of appeal is defective, the clerk must notify the parties and the trial court clerk so that the defect can be remedied if possible. TRAP 37.1. If no curative action is taken within 30 days after notice, the matter is referred to the appellate court for disposition. TRAP 37.1.

2. Who can perfect the appeal

Section 51.014(a)(8) states that a “person” may appeal from an interlocutory order of a district court, county court at law, or county court that grants or denies a plea to the jurisdiction by a governmental unit as that term is defined in section 101.001. Since individuals are not “governmental units” under section 101.001, they would have no interlocutory appellate rights under this section for pleas to the jurisdiction filed by them. However, if a governmental unit’s plea to the jurisdiction is granted, the claimant, as a “person” would have a right to an interlocutory appeal.

3. Effect of the appeal

An interlocutory appeal under section 51.014(a) “stays the commencement of the trial in the trial court pending resolution of the appeal. An interlocutory appeal under Subsection (a)(3), (5), or (8) also stays all other proceedings in the trial court pending resolution of that appeal.” TEX. CIV. PRAC. & REM. CODE § 51.014(b).

D. Record

Clerk’s Record. Once counsel files the notice of appeal, the clerk’s office must prepare the record, which is required to include certain items. TRAP 34.5(a)(1)-(12). It is the clerk’s duty to prepare and file the clerk’s record if (1) a notice of appeal has been filed and (2) the party responsible for paying for the clerk’s record has paid the clerk’s fee, or made satisfactory arrangements to pay the fee, or is entitled to appeal without paying the fee. TRAP 35.3(a). Governmental entities are exempted from having to post security for costs. See TEX. CIV. PRAC. & REM. CODE § 6.001 et seq. This exemption
has been extended to city officials sued in their official capacities. *Greanias v. City of Houston*, 841 S.W.2d 411, 413 (Tex. App.—Houston [1st Dist.] 1992, no writ). While most clerks and reporters assume that this statute also extends to filing fees and record preparation, this statute does not appear to actually extend so far.

| PRACTICE POINTER: Do not count on the clerk! They cannot read your mind, and if the file is complicated (or a mess), they may have to “guess” at what should be included. Do not make them guess as to the items needed for your appeal. When requesting items, be specific. Do not request an “Original Answer” when four such original answers by various parties are in the record. Do not assume that separately bound exhibits will be included with your “Motion for Summary Judgment” – specify them. In general, it is best to specifically request every necessary item for the appeal, including those the clerk is supposed to include. TRAP 34.5(b). This request must be made at or before the clerk’s record is prepared. TRAP 34.5(b). When possible, identify the title of the item to be included, the party that filed the item, and the date of its filing. Be careful what you ask for, however, because the court may impose costs on a party, regardless of the appeal’s outcome, for requesting unnecessary items. TRAP 34.5(b)(3). |

**Reporter’s Record.** Appellant must request in writing the specific portions to be included, and the request must designate the exhibits to be included. TRAP 34.6(b). Also, counsel must file a copy of the request with the trial court clerk. TRAP 34.69(b)(2).

**Deadlines.** In an accelerated appeal, the record is due within 10 days after the notice of appeal is filed. TRAP 35.1(b). If the clerk’s record is not filed by the deadline, the appellate court clerk must notify the responsible official, with a copy to the parties and to the trial court, advising of the missed deadline and requesting that the record be filed within 10 days. If that deadline is not met, then the appellate court clerk must refer the matter to the appellate court to make whatever order is appropriate to avoid further delay and protect the parties’ rights. TRAP 37.3. If the delay in filing the record is not appellant’s fault, the appellate court must permit late filing of the record; if it is the appellant’s fault, it may allow late filing. TRAP 35.3(c). If the reason for the missed deadline is appellant’s failure to pay for the clerk’s record, the appeal can be dismissed for want of prosecution, but only after giving the appellant a reasonable opportunity to cure. TRAP 37.3(b).

**Filing responsibilities.** The trial court clerk and court reporter are obligated to timely file their respective records. TRAP 35.3. If either record is not timely filed, the appellate clerk must notify the trial court clerk or the court reporter of the late filing. TRAP 37.3(a). The appellate clerk must check to see that the clerk’s and reporter’s records are complete according to the rules. TRAP 37.2. However, the clerk will not ensure that every additional document requested also in the record. As a result, appellate counsel should review both records to make sure that they contain every item requested.

**Supplementing the record.** An item is missing from the record, a party may supplement the record by sending a letter to the trial court clerk and requesting the clerk to prepare, certify and file in the appellate court a supplement containing the omitted item. TRAP 34.5(c); 34.6(d). Motions to supplement the record, which required the court’s leave, are no longer required. *Id.*

**E. Docketing Statement**

The appellant must file a docketing statement with the appellate clerk when perfecting the appeal. TRAP 32.1. It must include:

- information about appellant’s counsel (if represented) or appellant (if not)
- the date the notice of appeal was filed or mailed
- information on the trial court
- date of the judgment or order appealed from
- post-judgment activities that affect time to file the record
- information on all other parties to the trial court’s judgment
- general nature of the case
- whether the appeal should be given priority or is accelerated
- whether a reporter’s record has or will be requested
- the name of the court reporter
- whether temporary or ancillary relief will be sought
• information relating to any affidavit of indigency
• whether a supersedeas bond has or will be filed
• any other information required by the appellate court.

TRAP 32.1.

The docketing statement is for administrative purposes only, and is not jurisdictional. TRAP 32.4. However, the appellant’s failure to file it could cause the appellate court to dismiss the appeal. TRAP 42.3(c); Adkins v. Roberts, 2004 WL 1416175 (Tex. App.—Tyler 2004, no pet.) (not designated for publication). Any party can file a supplemental docketing statement. TRAP 32.3.

F. The Brief
1. Appellant's Brief

Contents. There are a number of required components of a brief.

1. Identify of Parties and Counsel. TRAP 38.1(a) requires that the brief give a complete list of parties to the trial court’s judgment or appealable order, and the names and addresses of all trial and appellate counsel.

2. Table of Contents. TRAP 38.1(b) requires that the brief contain a table of contents with page references. The table of contents must indicate the subject matter of each issue or point, or each group of issues or points.

3. Index of Authorities. TRAP 38.1(c) requires that the brief to have an index of authorities, arranged alphabetically with page references.

4. Statement of the Case. TRAP 38.1(d) requires that the brief state concisely the nature of the case (i.e., tort claim against a municipality, suit under the Tort Claims Act against the State, premise defect case under the Tort Claims Act), the course of the proceedings, and the trial court’s disposition of the case. Record references are required. The statement of the case should seldom exceed one-half page, and should not discuss the facts.

5. Issues Presented. TRAP 38.1(e) introduces the concept of “issues presented,” in lieu of points of error. The appellate brief can state either issues presented or points presented for review. Justices strongly recommend the use of issues, not points of error. TRAP 38.1(c) indicates that the statement of an issue or point will be treated as covering every subsidiary question that is fairly included. This new approach is closer to the federal approach, and should avoid appellate courts declaring that complaints were waived by the failure to craft a sufficiently accurate point of error.

6. Statement of Facts. TRAP 38.1(f) requires that the brief state concisely and without argument the facts pertinent to the appeal. TRAP 38.1(f) further provides that in a civil case the court may take as true assertions of fact unless the other party contradicts them. The statement of facts must be supported by record references.

7. Summary of the Argument. TRAP 38.1(g) requires that the brief contain a succinct, clear, and accurate statement of the arguments made in the body of the brief. The summary must not merely repeat the issues or points presented.

8. Argument. TRAP 38.1(i) requires that the brief contain a short conclusion that clearly states the nature of the relief sought.

9. Signature. TRAP 9.1 requires that the brief be signed by counsel, and for each attorney who represents a party on appeal, the brief must contain the attorney’s Texas Bar number, mailing address, telephone number, and fax number, if any.

10. Certificate of Service. TRAP 9.5(d) and (e) require either an acknowledgment of service by the person served, or a certificate of service signed by the person who made the service, stating the date and manner of service, the name and address of each person served, and if the person served is a party’s attorney, the name of the party represented by that attorney.

12. Appendix. TRAP 38.1(j) requires an appendix containing (unless voluminous or impractical): (a) the judgment or other appealable order from which relief is sought, (b) the jury charge and verdict or findings of fact and conclusions of law, if any, (c) the text of any rule, regulation, ordinance, etc. (excluding case law) on which the argument is based and the text of any contract or other document central to the argument. The appendix may also contain any other item pertinent to the issues or points on appeal, but must not include
items in an attempt to avoid the page limits for the brief.

Amended/Supplemental Briefs. A brief can be amended or supplemented “whenever justice requires” on whatever reasonable terms the appellate court may prescribe. TRAP 38.7.

CAUTION: Know the law and, as required by the rules of ethics, argue the law in good faith within it’s bounds. Sanctions on appeal may be awarded if you misquote the law or are consciously indifferent to established law under the Texas Tort Claims Act. See Bridges, 20 S.W.3d at 115-120 (sanctioning parties and attorneys for frivolous appeal).

2. Appellee’s Brief

All of the contents and other rule requirements of the appellant’s brief apply to the appellee’s brief, except that (1) the list of parties and counsel is not required unless necessary to supplement or correct the appellant’s list, (2) the appellee’s brief need not include a statement of the case, a statement of the issues presented, or a statement of facts, unless the appellee is dissatisfied with that portion of the appellant’s brief, and (3) the appendix need not contain any item already contained in the appellant’s appendix. When practicable, the appellee’s brief should respond to the appellant’s issues in the order presented in appellant’s brief. TRAP 38.2.

3. Reply Brief

The appellant may file a reply brief to address any issue contained in the appellee’s brief. TRAP 38.3.

4. Length

The appellant’s brief and appellee’s brief are limited to 50 pages each. The 50-page count excludes pages containing the identities of parties and their counsel, the table of contents, the index of authorities, statement of the case, the issues or points presented, and the appendix. TRAP 38.4. A reply brief is limited to 25 pages, excluding the pages set out above. However, the aggregate number of all pages filed by a party must not exceed 90 pages, excluding the items stated above.

5. Form

Under TRAP 9.4(b), all briefs must be typewritten or printed on 8-1/2 x 11 inch opaque white or near-white paper. Text must be double-spaced, except for footnotes, block quotations, short lists and issues or points of error. TRAP 9.4(d). Briefs must be bound so that they will not fall apart or lose their covers in regular use. TRAP 9.4(f). Briefs should be stapled once in the top left-hand corner or be bound so that they will lie flat when open. TRAP 9.4(f). Front and back covers must be durable, and cannot be plastic, red, black or dark blue. TRAP 9.4(f). The front of the brief must contain the case style and number, the name of the party filing the brief, and the identity and address, etc., of lead counsel for the party. TRAP 9.4(g). Briefs can be printed on both side of the page. TRAP 9.4(a). All margins must be at least one inch. TRAP 9.4(c). TRAP 9.4(e) requires that all documents must be printed in either (i) standard 10-character-per-inch non-proportionally spaced Courier typeface, or (ii) 13-point or larger proportionally spaced typeface, with footnotes in at least 10 point type.

6. Due Dates

In an accelerated appeal, the appellant’s brief is due 20 days after the later of the clerk’s record or the reporter’s record is filed (not due, as the record may be filed early). TRAP 38.6(a). Appellee’s brief is due 20 days after appellant’s brief is filed. TRAP 38.6(b). Appellant’s reply brief is due 20 days after appellant’s brief is filed. TRAP 38.6(c).

Computation of time. If the last day of a period for filing a document ends on a Saturday, Sunday, or legal holiday, the filing deadline is extended to the next business day which is not a legal holiday. TRAP 4.1(a). The deadline is also extended when the court clerk’s office is closed or inaccessible during regular business hours on the last day for filing. Inaccessibility can be proved by a certificate of the clerk or counsel, by a parties’ affidavit, or other satisfactory proof, and can be contested in like manner. TRAP 4.1(b).

Mail. A document can be filed with an appellate court by mailing it on or before the date it is due, and if received within 10 days of the deadline it is deemed timely filed. TRAP 9.2(b). Conclusive proof of the date of mail consists of (i) a legible postmark; (ii) a United States Postal Service receipt for registered or certified mail; or (iii) a United States Postal Service certificate of mailing. Other proof may also be considered.

Pleas to the Jurisdiction

Truth, Fibs, and Outright Lies  Chapter 7
7. **Number of Copies**
   An original and 5 copies must be filed. TRAP 9.3(a). A court of appeals may require the filing of more or less copies by local rule. TRAP 9.3(a)(2).

8. **Rebriefing**
   TRAP 38.9(a) provides that where the briefing rule has been flagrantly violated, the court can require the brief to be amended, supplemented or redrawn. If another brief is filed that does not comply, the court can prohibit the filing of a brief and proceed as if the party failed to file a brief.

   If the court of appeals determines that the case has not been properly presented in the briefs, the court can postpone submission, require additional briefing, or make other orders necessary to secure a more satisfactory submission of the case. TRAP 38.9(b).

G. **Oral Argument**

**Purpose.** TRAP 39.2 states the purpose of oral argument. Oral argument should emphasize and clarify the written arguments in the briefs. Counsel should not read from prepared text.

   Counsel should assume that all members of the court have read the briefs, and should be prepared to answer questions. Counsel should not refer to matters not in the record.

**Requesting.** TRAPs 9.4(g) and 39.7 provide that a party desiring oral argument in the court of appeals must request it on the front cover of the party’s first brief.

**Postponing.** Under TRAP 10.5(c), a motion to postpone oral argument must be supported by sufficient cause.

**Submission Without Oral Argument.** Under TRAP 39.8, a court of appeals can decide a case without oral argument if argument would not significantly aid the court in determining the legal and factual issues presented in the appeal.

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**Practice Pointer:** Unlike the rules of civil procedure, the rules of appellate procedure allow for mailing by first-class and express mail. Compare TRAP 9.2(b)(1)(A) with TRCP 21a.

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**Practice Pointer:** Note that several courts of appeal have begun denying oral argument on a fairly routine basis. It may be a good idea to put a statement in the brief explaining why oral argument would be helpful to the court. This has been specifically suggested by some of the justices sitting on the Dallas court of appeals.

H. **Judgment and Mandate**

**Judgment.** TRAP 43.2 states the types of judgment the courts of appeals can issue. The list of options includes: (1) affirm; (2) modify and affirm; (3) reverse and render; (4) reverse and remand; (5) vacate and dismiss; or (6) dismiss. TRAP 43.3 allows the court of appeals, when reversing the trial court, to remand for a new trial in the interest of justice instead of rendering judgment.

**Mandate.** TRAP 18.1 requires the mandate to be issued 10 days after the date when the time to file a petition for review has expired, or if a petition was filed, 10 days from the last possible day of filing a motion for rehearing on the rejection of the petition for review.

I. **Appeals to the Texas Supreme Court**

Petitioners seeking Supreme Court review have only 15 pages in which to convince the Court to hear their case. As a result, a premium is placed on succinct appellate advocacy.

1. **Jurisdiction**

   Supreme Court jurisdiction over interlocutory appeals is very limited. By statute, the Supreme Court may only entertain interlocutory appeals in three instances: (1) when the justices of the court of appeals disagree on a material issue of law (dissent), (2) when the court of appeals’ decision conflicts with a prior decision of the Supreme Court or another court of appeals (conflict) [See Miranda, 133 S.W.3d at 222-223; White, 46 S.W.3d at 867], or (3) in certain appeals involving class certification or relating to the free speech/free press clause of the First Amendment. TEX. GOV’T CODE § 22.225.

   However, the Supreme Court has also held that it always has jurisdiction to determine whether the court of appeals correctly decided the issue of its own jurisdiction over an interlocutory appeal. *Quest Comm. Corp. v. AT&T Corp.*, 24 S.W.3d 334 (Tex. 2000).
2. **Petition for Review**

   Petitioners seeking relief from the Texas Supreme Court must file a 15 page petition for review with an appendix of necessary documents. The 15 pages do not include the pages containing the identity of parties and counsel, the table of contents, the index of authorities, the statement of the case, the statement of jurisdiction, the issues presented, the signature, the proof of service and the appendix. TRAP 53.6.

**Contents.** There are 10 elements of a petition for review, plus a required appendix:

1. **Identity of Parties and Counsel.** TRAP 53.2(a) requires that the petition give a complete list of parties to the trial court’s judgment or appealable order, and the names and addresses of all trial and appellate counsel.

2. **Table of Contents.** TRAP 53.2(b) requires that the petition contain a table of contents with page references. The table of contents must indicate the subject matter of each issue or point or each group of issues or points.

3. **Index of Authorities.** TRAP 53.2(c) requires the petition to have an index of authorities, arranged alphabetically with page references.

4. **Statement of the Case.** TRAP 53.2(d) requires that the petition contain a statement of the case that should seldom exceed one page and should not discuss the facts. The statement must contain the following: (1) a concise description of the nature of the case; (2) the name of the judge who signed the judgment or order appealed from; (3) the designation of the trial court and the county; (4) the disposition of the case by the trial court; (5) parties in the court of appeals; (6) the district of the court of appeals; (7) the name of the judges who participated, including the author of the opinion and the author of any separate opinion; (8) the citation for the court of appeals’ opinion, if available, or a statement that the opinion was not published; and (9) the disposition of the case by the court of appeals.

5. **Statement of Jurisdiction.** TRAP 53.2(e) requires that the petition state the basis of the Supreme Court’s jurisdiction without any argument. Note that jurisdiction is very limited in interlocutory appeals and generally must be based on a dissent in the opinion of the court of appeals or a conflict in the courts of appeals. TEX. GOV’T CODE § 22.225(c), 22.001(a)(1), (2).

6. **Issues Presented.** TRAP 53.2(f) substitutes the concept of “issues presented” for points of error. The petition can state either issues presented or points presented for review. TRAP 53.2(f) indicates that the statement of an issue or point will be treated as covering every subsidiary question that is fairly included. This new approach is closer to the federal approach, and should avoid appellate courts declaring that complaints were waived by the failure to draft a sufficiently accurate point of error.

7. **Statement of Facts.** TRAP 53.2(g) requires that the petition state concisely and without argument the facts pertinent to the issues or points presented. The statement of facts must be supported by record references.

8. **Summary of the Argument.** TRAP 53.2(h) requires that the petition contain a succinct, clear, and accurate statement of the arguments made in the body of the petition. The summary must not merely repeat the issues or points presented.

9. **Argument.** TRAP 53.2(i) requires that the petition contain a clear and concise argument for the contentions made, with appropriate citations to authorities and to the record. The argument should include the reasons why the Supreme Court should exercise jurisdiction to hear the case with reference to factors listed in TRAP 56.1(a). The argument need not address all issues or points presented; those not addressed may be covered in the brief on the merits if one is requested by the Court. Statements made in the court of appeals’ opinion need not be repeated, since the Court will consider that opinion along with the petition.

10. **Prayer.** TRAP 53.2(j) requires that the petition contain a short conclusion that clearly states the nature of the relief sought.

11. **Appendix.** Since the record is not immediately forwarded to the Supreme Court, TRAP 53.2(k) requires petitioner to attach an appendix which must include (unless voluminous or impractical):

   - the judgment/order appealed from
   - the jury charge and verdict or findings of fact/conclusions of law
   - the court of appeals’ judgment and opinion
   - the text of rule, regulation, ordinance, etc. on which the argument is based, and the text of any contract or document central to the argument.
Other items “pertinent” to the issues may be included in the appendix, but must not be included in an attempt to avoid the page limitations. TRAP 53.2(k)(2).

The Supreme Court may thereafter request that the record be filed, with or without granting the petition for review. TRAP 54.1.

**Where to file.** The petition for review is filed with the Supreme Court clerk. TRAP 53.7(a). If the petition is mistakenly filed in the court of appeals, it is deemed to have been filed that day with the Supreme Court clerk, and the court of appeals clerk must immediately send it to the Supreme Court clerk. TRAP 53.7(g).

**When to file.** The petition for review must be filed within 45 days of the last action in the court of appeals (this is the date of the court of appeal’s judgment, unless a motion for rehearing was filed). TRAP 53.7(a).

If a timely petition for review is filed, any other party may also file a petition for review within 45 days after the last timely motion for rehearing is overruled, or within 30 days after the preceding petition is filed, whichever is later. TRAP 53.7(c).

**Number of copies.** An original and 11 copies must be filed. TRAP 9.3(b).

**Response.** Any party may file a response, but it not mandatory. If no response is filed, or if a waiver of response if filed, the Court will consider the petition without a response. Any response to a petition for review must be filed within 30 days after the petition is filed, and is limited to 15 pages (exclusive of the sections noted above). TRAP 53.6, 53.7(d). If no response is going to be filed, the Supreme Court strongly prefers that a letter stating that no response will be filed be sent to the clerk.

**Reply.** Any reply must be filed within 15 days after the response is filed, and is limited to 8 pages. TRAP 53.5; 53.6; 53.7(e).

**Length.** The petition and any response is limited to 15 pages. TRAP 53.6. The reply brief may be 8 pages. TRAP 53.6.

Briefs to the Supreme Court must follow the same form and requirements of other briefs. TRAP 9.4. Briefs of the merits, if requested by the Supreme Court, must be no longer than 50 pages each, except petitioner’s reply brief, which may be 25 pages. TRAP 55.6.

**Disposition.** TRAP 60.2 specifies the types of judgment the Supreme Court can issue. The list of options includes: (1) affirm the judgment of the court of appeals in whole or in part; (2) modify and affirm the court of appeals; (3) reverse and render the judgment that the court of appeals should have rendered; (4) reverse and remand to the court of appeals or trial court; (5) vacate both lower court judgments and dismiss; or (6) vacate and remand in light of changes in the law.

TRAP 60.3 states that when the Supreme Court reverses the court of appeals, it may, in the interests of justice, remand for a new trial even where rendition is otherwise appropriate. The Supreme Court can also make any other appropriate order as the law and the nature of the case may require. TRAP 60.6.

### IV. CONCLUSION

**Blitzkrieg (n.):** *Any swift, sudden, overwhelming attack; lightning war.* WEBSTER’S UNABRIDGED DICT. 196 (2nd ed. 1983).

The term *blitzkrieg* best describes the procedure encompassed by the filing of a plea to the jurisdiction. Governmental entities no longer have to wait (sometimes until the end of the discovery period, see TRCP 166a(i)) to seek dismissal via summary judgment. Compared to the usual defensive tactics of thrust, parry and engage, governmental entities have a new, potentially lethal weapon in their arsenal, allowing a potentially devastating first-strike capacity, cutting right to the heart of the plaintiff’s claims at the very onset of litigation.

There is a single, simple solution to this dilemma, however. Plaintiffs can render a plea to the jurisdiction impotent by carefully analyzing their claims and clearly pleading them within the specific language of the Texas Tort Claims Act (or other waiver of sovereign immunity).
APPENDIX “A”

Texas Tort Claims Act Flowchart
Proprietary Function § 101.0215(b)
- public utility
- amusement park
- ultra hazardous activity

Governmental Function § 101.0215(a)
- police and fire protection and control
- health and sanitation services
- street construction and design
- bridge construction and maintenance and street maintenance
- cemeteries and cemetery care
- garbage and solid water removal, collection and disposal
- establishment and maintenance of jails
- hospitals
- sanitary and storm sewers
- airports
- water works
- repair garages
- parks and zoos
- museums
- libraries and library maintenance
- civic, convention centers or coliseums
- community, neighborhood or senior citizen centers
- operation of emergency ambulance service
- dams and reservoirs
- warning signals
- regulation of traffic
- transportation systems
- recreational facilities, including but not limited to swimming pools, beaches and marinas
- vehicle and motor-driven equipment
- maintenance
- parking facilities
- tax collection
- fireworks displays
- building codes and inspection
- zoning, planning, and plat approval
- engineering functions
- maintenance of traffic signals, signs
- water and sewer service
- animal control
- community development activities
- latchkey school programs

Claim for Governmental Liability Stated
- operation or use of a motor-driven vehicle or motor driven equipment. § 101.201(1)
OR
- condition or use of tangible personal property § 101.201(2)
OR
- premise liability. § 101.201(2), 022

Municipality subject to unlimited damages

Yes
Notice sufficient and timely § 101.101

No
Exclusions and exceptions § 101.051-66
- school district or junior collect district except as to motor vehicles. § 101.051
- legislature. § 101.052
- judicial. § 101.053
- state military personnel. § 101.054
- collection of taxes. § 101.055(1)
- emergency situation. § 101.055(2)
- police or fire protection. § 101.055(3)
- discretionary powers. § 101.056
- civil disobedience. § 101.057(1)
- intentional tort. § 101.057(2)
- attractive nuisances. § 101.059
- traffic and road control devices. § 101.060
- torts committed before Jan. 1, 1970. § 101.060
- 9-1-1 emergency service. § 101.062
- public health district. § 101.063
- land acquired under foreclosure.
- negligence of off-duty officers. § 101.065
- computer data failure. § 101.066

Municipality sovereignly immune

Yes
Municipal employee settled or by judgment found not liable. § 101.106

No

Immunity waived and municipality subject to damage caps. § 101.023-.024
## APPENDIX “B”

### INTERLOCUTORY APPEAL STEPS

<table>
<thead>
<tr>
<th>Step</th>
<th>Action</th>
<th>Rules</th>
<th>Deadline</th>
<th>Comment</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>ORDER granting or denying plea to the jurisdiction.</td>
<td></td>
<td></td>
<td>ALWAYS, CHECK THE LOCAL RULES FOR YOUR COURT OF APPEALS. Some of the courts significantly change the requirements</td>
</tr>
<tr>
<td>2</td>
<td>NOTICE OF APPEAL filed by appellant in the trial court. Serve copy on appellate court and all parties to the trial court proceeding.</td>
<td>TRAP 25.1, 26.1(b)</td>
<td>20 days from Step 1</td>
<td>The grant or denial of a plea to the jurisdiction by a governmental unit is allowed under CPRC § 51.014(a)(8).</td>
</tr>
<tr>
<td>3</td>
<td>DOCKETING STATEMENT filed by appellant in court of appeals.</td>
<td>TRAP 32.1</td>
<td>Step 2</td>
<td>Due “upon perfecting.”</td>
</tr>
<tr>
<td>4</td>
<td>PAYMENT. Appellant normally must pay a $125 filing fee, arrange to pay trial court clerk for clerk’s record, and arrange to pay the court reporter for the reporter’s record, if any. Most federal and State agencies, cities and water districts are exempt from pre-payment. Tex. Civ. Prac. &amp; Rem. Code chap. 6.</td>
<td>TRAP 5, TRAP 35.3(a)(2), TRAP 35.3(b)(3)</td>
<td>Upon filing appeal Before clerk’s record is prepared Before reporter’s record is prepared</td>
<td>The notice of appeal acts as a request to the trial court clerk to prepare the record, but appellant must pay or “arrange to pay” fees for record before clerk or reporter has a duty to prepare the record. Talk to trial court clerk and reporter to confirm there are no fees due.</td>
</tr>
<tr>
<td>5</td>
<td>SUPPLEMENTATION. Parties may file request with trial court clerk to include in clerk’s record matters additional to those in TRAP 34.5(a)</td>
<td>TRAP 34.5(b)</td>
<td>Before clerk’s record is prepared</td>
<td>Review the clerk’s record to insure that all important pleadings and documents are included.</td>
</tr>
<tr>
<td>6</td>
<td>APPELLATE RECORD is filed by trial court clerk or reporter in the appellate court. Appellate clerk notifies parties of dates the clerk’s &amp; reporter’s records are filed.</td>
<td>TRAP 35.1(b); 37.2</td>
<td>10 days from Step 2</td>
<td>Timely filing of record is the responsibility of the trial clerk or reporter, but appellant may still lose if at fault for late filing. TRAP 37.3(b), (c). Since this is for non-payment for the record, it should not apply to interlocutory appeals by governmental units exempt from pre-payment.</td>
</tr>
<tr>
<td>7</td>
<td>APPELLANT’S BRIEF filed in appellate court (50 pages; original + 5 copies; can be any color except red, black or dark blue). An APPENDIX must also be filed. It may be bound with the brief, or separately. It should be tabbed and indexed.</td>
<td>TRAP 9.3(a)(1)(C), 9.4, 38.4, 38.6(a) TRAP 9.4(h)</td>
<td>20 days after Step 6</td>
<td>Appellant may file motion to extend time to file briefs in appellate court (original + 2 copies). TRAP 9.3(a)(1)(B), 10.5(b), 38.6(d). Oral argument request must be on cover or it is waived. TRAP 39.7.</td>
</tr>
<tr>
<td>8</td>
<td>APPELLEE’S BRIEF filed in appellate court (50 pages; original + 5 copies; prohibited colors same as appellant’s brief).</td>
<td>TRAP 9.3(a)(1)(C), 38.4, 38.6(b)</td>
<td>20 days after Step 7</td>
<td>Runs from filing date of appellant’s brief. Oral argument request must be on cover or it is waived. TRAP 39.7.</td>
</tr>
<tr>
<td>Step</td>
<td>Description</td>
<td>Reference</td>
<td>Timeframe</td>
<td>Notes</td>
</tr>
<tr>
<td>------</td>
<td>-----------------------------------------------------------------------------</td>
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</tr>
<tr>
<td>9</td>
<td><strong>APPELLANT’S REPLY BRIEF</strong> filed in appellate court (25 pages, original + 5 copies; prohibited colors same as appellant’s brief) (optional)</td>
<td>TRAP 9.3(a)(1)(C), 38.4, 38.6(c)</td>
<td>20 days from Step 8</td>
<td>Pinpoint only a few, salient issues in which to reply.</td>
</tr>
<tr>
<td>10</td>
<td><strong>NOTICE TO PARTIES RE: ORAL ARGUMENT OR SUBMISSION</strong> sent by appellate clerk</td>
<td>TRAP 39.9</td>
<td>21 days before Step 11</td>
<td>Oral argument will usually be allowed if requested.</td>
</tr>
<tr>
<td>11</td>
<td><strong>ORAL ARGUMENT</strong> or written submission in appellate court.</td>
<td>TRAP 39.9, 40.1(b)</td>
<td>As set by appellate court</td>
<td>Interlocutory appeals are always accelerated by law. TRAP 28.1.</td>
</tr>
<tr>
<td>12</td>
<td><strong>JUDGMENT</strong> issued by appellate court</td>
<td>TRAP 43.1, 47.1</td>
<td>“Promptly”</td>
<td></td>
</tr>
<tr>
<td>13</td>
<td><strong>MANDATE</strong> issues. It is effective when issued.</td>
<td>TRAP 18.1, 18.6</td>
<td>At Step 12 or final disposition</td>
<td></td>
</tr>
<tr>
<td>14</td>
<td>Consider filing a <strong>PETITION FOR REVIEW</strong> in the Texas Supreme Court.</td>
<td>TRAP 53</td>
<td>45 days of the last action in the court of appeals (the judgment date, unless a motion for rehearing is filed)</td>
<td>The Texas Supreme Court has <strong>very</strong> limited jurisdiction to hear petitions in interlocutory appeals.</td>
</tr>
</tbody>
</table>
APPENDIX “C”

INTERLOCUTORY APPEALS STATISTICS
Virtually all of these decisions are interlocutory appeals.

The vast majority of these decisions are *not* interlocutory appeals. However, since they involve appellate determination of a plea to the jurisdiction, the only difference between them and interlocutory appeals is that they grant the plea to the jurisdiction and there are no other parties remaining in the lawsuit with live claims. The *legal* substance of these opinions is the same.

This total includes one or more “split” decisions (i.e. affirmed in part, reversed in part).
6 Virtually all of these decisions are interlocutory appeals.

7 The vast majority of these decisions are *not* interlocutory appeals. However, since they involve appellate determination of a plea to the jurisdiction, the only difference between them and interlocutory appeals is that they grant the plea to the jurisdiction and there are no other parties remaining in the lawsuit with live claims. The *legal* substance of these opinions is the same.

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<table>
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<tr>
<th></th>
<th>Affirm Denial of Plea&lt;sup&gt;6&lt;/sup&gt;</th>
<th>Reverse Denial of Plea&lt;sup&gt;1&lt;/sup&gt;</th>
<th>Affirm Grant of Plea&lt;sup&gt;7&lt;/sup&gt;</th>
<th>Reverse Grant of Plea&lt;sup&gt;2&lt;/sup&gt;</th>
<th>Total</th>
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<tr>
<td>1 Houston</td>
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<td>2 Fort Worth</td>
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<td>1</td>
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<td>3 Austin</td>
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<td>5 Dallas</td>
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<tr>
<td>6 Texarkana</td>
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<tr>
<td>7 Amarillo</td>
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<td>8 El Paso</td>
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<td>9 Beaumont</td>
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<td>11 Eastland</td>
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<td>12 Tyler</td>
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<td>13 Corpus Christi</td>
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<td>14 Houston</td>
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<tr>
<td>Supreme Court</td>
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<tr>
<td><strong>TOTALS</strong></td>
<td><strong>23</strong></td>
<td><strong>22</strong></td>
<td><strong>13</strong></td>
<td><strong>10</strong></td>
<td><strong>68</strong></td>
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</tbody>
</table>
Virtually all of these decisions are interlocutory appeals.

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### Interlocutory Appeals Statistics

**Summary 1999 - 2004**

<table>
<thead>
<tr>
<th></th>
<th>Affirm Denial of Plea</th>
<th>Reverse Denial of Plea</th>
<th>Affirm Grant of Plea</th>
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<td>Beaumont</td>
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<td>6½⁺³</td>
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<tr>
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<td>Tyler</td>
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<td>Corpus Christi</td>
<td>8½⁺³</td>
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<td><strong>59</strong></td>
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</table>

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**Note:**

- Affirm Denial of Plea: Decisions affirming the denial of a plea to the jurisdiction.
- Reverse Denial of Plea: Decisions reversing the denial of a plea to the jurisdiction.
- Affirm Grant of Plea: Decisions affirming the grant of a plea to the jurisdiction.
- Reverse Grant of Plea: Decisions reversing the grant of a plea to the jurisdiction.